

**STIC Biotechnology Systems Branch**

**RAW SEQUENCE LISTING**  
**ERROR REPORT**



The Biotechnology Systems Branch of the Scientific and Technical Information Center (STIC) detected errors when processing the following computer readable form:

Application Serial Number: 10/048,033  
Source: 1FW/6  
Date Processed by STIC: 4/3/06

THE ATTACHED PRINTOUT EXPLAINS DETECTED ERRORS.

PLEASE FORWARD THIS INFORMATION TO THE APPLICANT BY EITHER:

- 1) INCLUDING A COPY OF THIS PRINTOUT IN YOUR NEXT COMMUNICATION TO THE APPLICANT, WITH A NOTICE TO COMPLY or,
- 2) TELEPHONING APPLICANT AND FAXING A COPY OF THIS PRINTOUT, WITH A NOTICE TO COMPLY

FOR CRF SUBMISSION AND PATENTIN SOFTWARE QUESTIONS, PLEASE CONTACT MARK SPENCER, TELEPHONE: 571-272-2510; FAX: 571-273-0221

TO REDUCE ERRORED SEQUENCE LISTINGS, PLEASE USE THE **CHECKER VERSION 4.4.0 PROGRAM**, ACCESSIBLE THROUGH THE U.S. PATENT AND TRADEMARK OFFICE WEBSITE. SEE BELOW FOR ADDRESS:

<http://www.uspto.gov/web/offices/pac/checker/chkrnote.htm>

Applicants submitting genetic sequence information electronically on diskette or CD-Rom should be aware that there is a possibility that the disk/CD-Rom may have been affected by treatment given to all incoming mail.

Please consider using alternate methods of submission for the disk/CD-Rom or replacement disk/CD-Rom.

Any reply including a sequence listing in electronic form should NOT be sent to the 20231 zip code address for the United States Patent and Trademark Office, and instead should be sent via the following to the indicated addresses:

1. EFS-Bio (<<http://www.uspto.gov/ebc/efs/downloads/documents.htm>> , EFS Submission User Manual - ePAVE)
2. U.S. Postal Service: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450
3. Hand Carry, Federal Express, United Parcel Service, or other delivery service (EFFECTIVE 01/14/05): U.S. Patent and Trademark Office, Mail Stop Sequence, Customer Window, Randolph Building, 401 Dulany Street, Alexandria, VA 22314

Revised 01/10/06

## Raw Sequence Listing Error Summary

### ERROR DETECTED

### SUGGESTED CORRECTION

SERIAL NUMBER:

10/048,033

ATTN: NEW RULES CASES: PLEASE DISREGARD ENGLISH "ALPHA" HEADERS, WHICH WERE INSERTED BY PTO SOFTWARE

- 1 ☐ **Wrapped Nucleics  
Wrapped Aminos** The number/text at the end of each line "wrapped" down to the next line. This may occur if your file was retrieved in a word processor after creating it. Please adjust your right margin to .3; this will prevent "wrapping."
- 2 ☐ **Invalid Line Length** The rules require that a line not exceed 72 characters in length. This includes white spaces.
- 3 ☐ **Misaligned Amino  
Numbering** The numbering under each 5<sup>th</sup> amino acid is misaligned. Do not use tab codes between numbers; use space characters, instead.
- 4 ☒ **Non-ASCII** The submitted file was not saved in ASCII(DOS) text, as required by the Sequence Rules. Please ensure your subsequent submission is saved in ASCII text.
- 5 ☐ **Variable Length** Sequence(s) \_\_\_\_\_ contain n's or Xaa's representing more than one residue. Per Sequence Rules, each n or Xaa can only represent a single residue. Please present the maximum number of each residue having variable length and indicate in the <220>-<223> section that some may be missing.
- 6 ☐ **PatentIn 2.0  
"bug"** A "bug" in PatentIn version 2.0 has caused the <220>-<223> section to be missing from amino acid sequences(s) \_\_\_\_\_. Normally, PatentIn would automatically generate this section from the previously coded nucleic acid sequence. Please manually copy the relevant <220>-<223> section to the subsequent amino acid sequence. This applies to the mandatory <220>-<223> sections for Artificial or Unknown sequences.
- 7 ☐ **Skipped Sequences  
(OLD RULES)** Sequence(s) \_\_\_\_\_ missing. If intentional, please insert the following lines for each skipped sequence:  
(2) INFORMATION FOR SEQ ID NO:X: (insert SEQ ID NO where "X" is shown)  
(i) SEQUENCE CHARACTERISTICS: (Do not insert any subheadings under this heading)  
(xi) SEQUENCE DESCRIPTION: SEQ ID NO:X: (insert SEQ ID NO where "X" is shown)  
This sequence is intentionally skipped  
Please also adjust the "(ii) NUMBER OF SEQUENCES:" response to include the skipped sequences.
- 8 ☐ **Skipped Sequences  
(NEW RULES)** Sequence(s) \_\_\_\_\_ missing. If intentional, please insert the following lines for each skipped sequence.  
<210> sequence id number  
<400> sequence id number  
000
- 9 ☐ **Use of n's or Xaa's  
(NEW RULES)** Use of n's and/or Xaa's have been detected in the Sequence Listing.  
Per 1.823 of Sequence Rules, use of <220>-<223> is MANDATORY if n's or Xaa's are present.  
In <220> to <223> section, please explain location of n or Xaa, and which residue n or Xaa represents.
- 10 ☐ **Invalid <213>  
Response** Per 1.823 of Sequence Rules, the only valid <213> responses are: Unknown, Artificial Sequence, or scientific name (Genus/species). <220>-<223> section is required when <213> response is Unknown or is Artificial Sequence. (see item 11 below)
- 11 ☒ **Use of <220>** Sequence(s) \_\_\_\_\_ missing the <220> "Feature" and associated numeric identifiers and responses. Use of <220> to <223> is MANDATORY if <213> "Organism" response is "Artificial Sequence" or "Unknown." Please explain source of genetic material in <220> to <223> section or use "chemically synthesized" as explanation. (See "Federal Register," 06/01/1998, Vol. 63, No. 104, pp. 29631-32), also Sec. 1.823 of Sequence Rules
- 12 ☐ **PatentIn 2.0  
"bug"** Please do not use "Copy to Disk" function of PatentIn version 2.0. This causes a corrupted file, resulting in missing mandatory numeric identifiers and responses (as indicated on raw sequence listing). Instead, please use "File Manager" or any other manual means to copy file to floppy disk.
- 13 ☐ **Misuse of n/Xaa** "n" can only represent a single nucleotide; "Xaa" can only represent a single amino acid



IFW16

## RAW SEQUENCE LISTING

PATENT APPLICATION: US/10/048,033

DATE: 04/03/2006

TIME: 15:16:35

Input Set : A:\PTO.TS.txt

Output Set: N:\CRF4\04032006\J048033.raw

*see item 4  
on Enr summary  
Sheet*

3 <110> APPLICANT: Celmed Oncology (USA), Inc.  
4 Shepard, Michael H.  
6 <120> TITLE OF INVENTION: Methods for treating therapy-resistant tumors  
8 <130> FILE REFERENCE: 060925-0601  
10 <140> CURRENT APPLICATION NUMBER: US 10/048,033  
11 <141> CURRENT FILING DATE: 2002-11-27  
13 <150> PRIOR APPLICATION NUMBER: PCT/US00/20007  
14 <151> PRIOR FILING DATE: 2000-07-21  
16 <150> PRIOR APPLICATION NUMBER: US 60/145,364  
17 <151> PRIOR FILING DATE: 1999-07-22  
19 <150> PRIOR APPLICATION NUMBER: US 60/153,855  
20 <151> PRIOR FILING DATE: 1999-09-14  
22 <160> NUMBER OF SEQ ID NOS: 6  
24 <170> SOFTWARE: PatentIn version 3.3

*pg 1-2*

## ERRORED SEQUENCES

87 <210> SEQ ID NO: 6  
88 <211> LENGTH: 26  
89 <212> TYPE: DNA  
90 <213> ORGANISM: Artificial  
92 <220> FEATURE:  
93 <223> OTHER INFORMATION: used for PCR amplification  
95 <400> SEQUENCE: 6  
96 gaaggtacc taaacagcca ttcca

*same response as  
seq. 5-6*

**Does Not Comply  
Corrected Diskette Needed**

E--> 102 1  
E--> 105 1  
E--> 107 3

*delete*

*what is its source? see item 11  
on Enr summary  
Sheet*

26

10/048,033

2

<210> 2  
<211> 20  
<212> DNA  
<213> Artificial

<220>

<223> corresponding to bases 564-583

<400> 2

ggtcaactcc ctgtcctgaa

insufficient explanation - give  
source of genetic  
material

20

L

same error  
in sequence 4

3

**VERIFICATION SUMMARY**

**PATENT APPLICATION: US/10/048,033**

**DATE: 04/03/2006**

**TIME: 15:16:36**

**Input Set : A:\PTO.TS.txt**

**Output Set: N:\CRF4\04032006\J048033.raw**

**L:102 M:254 E: No. of Bases conflict, this line has no nucleotides.**  
**M:254 Repeated in SeqNo=6**